



# Corporate Governance for Fraud Prevention in Banks with China Business Exposures

8 & 14 November 2019

**Early Bird Discount**  
1 week before the  
application deadline

HKIB CPD	SFC CPT
6 Hours	6 Hours

## Programme Objectives

Regulators have increased concerns over the fraud issues especially related to internet finance and business in China. Banks, with business exposures in China, are now devoting more and more resources to strengthen their fraud prevention framework to copy with the new challenges due to technology evolution, change in regulations, geographically diversification and industry integration. An effective corporate governance is the root to strength internal control of companies in China.

The course will discuss the good practices as well as the internal control and corporate governance framework to fight against fraud. Participants will be able to determine the levels of corporate governance of their clients (especially for corporate lending) and facilitate the process for post-credit monitoring.

## Programme Outline

- What is corporate governance
- Characteristics of corporate governance for China clients
- What is fraud and cost of fraud
- Types of financial fraud in China
- China Corporate governance guidelines and regulation
- Parties involved in the corporate governance in China business context
- Fraud related responsibilities in China business context
  - Board of directors and board committees
  - Management
  - Shareholders
- External auditors and internal auditors
- Role of corporate governance for against fraud
- Principles of corporate governance and its functions
- Management responsibilities of internal control
- Fraud risk management
- Fraud prevention policy and program

## Target Audience

Risk management officers, legal executives, fraud investigation officers, compliance officers, internal auditors, external auditors, bankers, insurance underwriters, business development officers, investors.

## Speaker

The speaker has over 15 years of cross border Merger & Acquisition, corporate finance, listing advisory, private equity investment, real estate & distress asset acquisition and management experience in China and HK. He held Master of International Financial Law degree, Postgraduate Diploma in Professional Accountancy; Bachelor of Business Administration (financial engineering). He is also a CFA, FRM, CAIA, Chartered Valuation Surveyor (MRICS), licensed China Corporate Legal Counsel, chartered China economist (real estate economics), China certified banker (corporate credit) and China fund management practicing certificate holder. The speaker is a seasonal trainer in various universities and professional associations to provide training on topics related to law, compliance, investment & finance. He also served as trainer in CFA exam preparation program.

## Programme Details

Language	:	Cantonese (supplemented with English materials)
Date	:	8 Nov 2019 (Fri) & 14 Nov 2019 (Thu)
Time	:	7:00pm – 10:00pm
Duration	:	6 hours
Venue	:	3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee	Early Bird	Regular Price
HKIB Individual Member	<b>HKD1,800</b>	HKD1,980
Staff of Corporate Member	<b>HKD2,160</b>	HKD2,376
Non-member	<b>HKD2,400</b>	HKD2,640

Enrolment	:	By fax at 2682 0218 or submit to our institute in person
Application Email	:	application.form@hkib.org
Enquiries	:	(852) 2190 7060 or (852) 2153 7800
Enquiry Email	:	programme@hkib.org
Application Deadline	:	1 November 2019 (Fri)

## Registration Form

Corporate Governance for Fraud Prevention in Banks with China Business Exposures (HD000000P191101)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	

Payment Method :  A cheque / e-Cheque\* made payable to "The Hong Kong Institute of Bankers" (Cheque no. \_\_\_\_\_)  
\* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card :  VISA  Master      Amount : HK\$ \_\_\_\_\_

Cardholder's Name : \_\_\_\_\_      Signature : \_\_\_\_\_

Card No. : \_\_\_\_\_      Expiry Date : \_\_\_\_\_ (mm/yy)

## How did you know about this programme?

- Website       HR Department       E-News       Others (Please specify) \_\_\_\_\_  
 Banking Today       Friends / Colleagues       HKIB Counter

## Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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