



Trends of Money Laundering Activities in Trade Finance

23 & 29 April 2019



| ECF CPD | HKIB CPD | SFC CPT |
|------------|-------------|------------|
| 6 Hours | 6 Hours | 6 Hours |

Objectives

After the completion of the programme, participants will be able to:

1. Develop the skills and awareness to recent trends of money laundering activities so that they can drive the organisations AML/CFT compliance activities in the future
2. Translate their obligations into everyday work practices
3. Understand consequences of not complying with their obligations
4. Learn guidance on the steps involved in recognising and preventing money laundering, terrorism financing and trade frauds
5. Support the successful facilitation of AML/CFT policies

Programme Outline

- Basic Introduction of AML, CFT and Common Types of Trade Fraud
- Commonly Used Trade Finance AML Techniques
- Case Studies Pertaining to Trade Finance Products
 - Documentary Credit and Factoring
 - Factoring
 - Export of Goods and Cross-Border Fund Transfer
 - Advance Payment Settlement
 - Forged Documents and Shell Company
 - Documentary Credit and Money Laundering
- Classic Trade Fraud Cases
 - Movements of Underlying Merchandise
 - Charter Party Bill of Lading
- Preventive Measures and Good Practices

Target Audience

The course is designed to enable anyone who has a professional or personal interest in broadening their knowledge by studying the money laundering, terrorist financing, and trade fraud risks inherent in the international trade environment.

Speaker

The speaker has over 25 years of professional experience in the sector of Trade Finance from various Global and National Investment Banks and Financial Institutions, mainly engaged in the management of day-to-day Trade Finance Operation and professional training in Trade Finance Transaction for internal and external stakeholders.

Programme Details

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| Language | : Cantonese (supplemented with English materials) |
| Date | : 23 & 29 April 2019 (Tuesday & Monday) |
| Time | : 19:00 - 22:00 |
| Duration | : 6 hours |
| Venue | : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan (Sheung Wan MTR Station Exit C) |
| Fee | : HKD1,800 (HKIB Individual Member) HKD2,160 (Staff of Corporate Member) HKD2,400 (Non-member) |
| Enrolment | : By fax at (852) 2682 0218 or in person to our Institute |
| Enquiries | : (852) 2190 7056 |
| Email | : programme@hkib.org |

Registration Form

Trends of Money Laundering Activities in Trade Finance (HD000000P190403)

| | |
|---|--|
| Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small> | Full Name : _____ <small>(In Chinese)</small> |
| Membership No : _____ | Organization : _____ |
| Department : _____ | Position : _____ |
| E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small> | Mobile / Phone No : _____ |
| Mailing Address : _____ | |

Payment Method: A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)

* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HKD _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

- Website
 HR Department
 E-News
 Others (Please specify) _____
- Banking Today
 Friends / Colleagues
 HKIB Counter

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).