



ECF CPD	HKIB CPD	SFC CPT
2 Hours	2 Hours	2 Hours

Objective

This programme aims at providing informative reference for bank managers and practitioners to enhance their awareness of the why ethics is important in their business environment; the actual and potential risks of legal and ethics issue involved; and advice and tips on how bank managers and practitioners may help their banks prevent corruption.

Programme Outline

- Necessity of Integrity in Banking
 - a. Why Business Ethics is important in Banking Sector?
 - b. New Challenges
- Legal Requirements and Behavior Standards
 - a. Regulators
 - b. Legal Requirements
 - c. Standard of Behavior
- Legal and Ethical Issues of Bank Managers
 - a. Bribery and Related Offences
 - b. Confidential Information Misuses
 - c. Conflict of Interests
 - d. Different Shades of Grey
- Ethical Decision Making
 - a. ETHICS & PLUS Decision-Making Model
- Ethics in Management - The Basic Solution
 - a. Importance of Ethical Culture
 - b. Corporate Code of Conduct
 - c. Strengthening a System of Controls
 - d. Managing Staff Integrity

Speaker

The trainer is currently the Chief Operating Officer of a leading Fintech company and has over 15 years of experience in compliance, anti-money laundering and risk management. Prior to that, the trainer led different regional and local middle-to-back office functions in global financial institutions, e.g. Ant Financial, RBC Wealth Management, Royal Bank of Scotland, HSBC and BNP Paribas. The trainer started his career in Morgan Stanley and then moved to PricewaterhouseCoopers before joining the SFC as a regulator. The trainer is a ABP, AHKIB, MHKSI, TMA member and Chartered Banker of CIBS. The trainer graduated from the HKUST with first class honor and obtained law degrees from the CUHK and Tsinghua University. The trainer is also the holder of Master of Laws (LLM), Juris Master and Bachelor of Business.

Programme Details

- Language : Cantonese (Supplemented with English materials)
- Date : 8 June 2020 (Mon)
- Time : 7:00pm - 9:00pm
- Duration : 2 hours
- Delivery Mode : **Virtual Classroom** via Zoom

Fee :	Price
Individual Member	HKD660
Staff of Corporate Member	HKD790
Non-member	HKD880

- Enrolment : By email or submit to our Institute in person
- Application Email : application.form@hkib.org
- Application Deadline : 1 June 2020 (Mon)
- Enquiries : (852) 2153 7800
- Enquiry Email : programme@hkib.org

Target Audience

Bank managers and executives in charge of compliance, human resources and training and Senior management

Registration Form
Banking Integrity (HD000000P200609)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____	Mobile / Phone No : _____
Mailing Address : _____	HKID No : _____

Payment Method : A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HKD _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____
(mm/yy)

How did you know about this programme?

- Website
- HR Department
- E-News
- Others (Please specify) _____
- Friends / Colleagues
- Banking Today
- HKIB Counter

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail at least 5 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The E-certificate will be mailed to the corresponding address within 15 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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