



How to Make Anti-Money Laundering Risk Well Managed in 2019 & ahead?

18 October 2019

Early Bird Discount
2 weeks before the application deadline

ECF / AML CPD	HKIB CPD	SFC CPT
2	2	2
Hours	Hours	Hours

Programme Objectives

After completing of the programme, participants will be able to:

- Understand the transaction screening i.e handling of potential matches, detecting suspicious transactions and decision making, when maintaining compliance in the face of frequent rule changes
- Walking the fine line of KYC on-boarding procedures
- Identify the potential commercial value vs. potential downsides – choosing appropriate business relationships
- Have a closer look at the FATF mutual evaluations key takeaways and what will be the recent developments (locally) on the basis of this

Programme Outline

- HK Regulatory Regime: Anti-Money Laundering and Counter
- Relevant Regulations
- Policy, Procedures & Systems
 1. Transactions Screening
 2. Mutual Evaluation

Target Audience

- Compliance and risk management practitioners who wish to consolidate their knowledge on AML and compliance regulations
- Anyone who wish to update knowledge on AML / CFT

Speaker

The speaker is currently the Principal Consultant in a consultancy firm and a trainer of HKIB. Prior to being the Executive Director of a well-known Chinese bank, the speaker has worked in the field of private wealth management, capital market and treasury for years. The speaker has a postgraduate and a bachelor degree, together with four professional qualifications: Msc in Financial Management awarded by University of London, BA (Hons) awarded by University of Hong Kong, Financial Risk Manager (FRM), Certified Management Accountant (CMA), Certified Private Wealth Professional (CPWP) and Certified Anti-Money Laundering Specialist (CAMS).

Programme Details

Language : English (supplemented with English materials)
 Date : 18 October 2019 (Fri)
 Time : 12:30pm – 2:30pm
 Duration : 2 hours
 Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee	Early Bird	Regular Price
HKIB Individual Member	HKD650	HKD715
Staff of Corporate Member	HKD780	HKD860
Non-member	HKD870	HKD960

Enrollment : By fax at 2682 0218 or Submit to our Institute in person
 Application Email : application.form@hkib.org
 Enquiries : (852) 2153 7800 or (852) 2190 7060
 Application Deadline : 11 October 2019 (Fri)
 Email : programme@hkib.org

Registration Form

How to Make Anti-Money Laundering Risk Well Managed in 2019 & Ahead? (HD000000P191029)

Full Name: Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name: _____ <small>(In Chinese)</small>
Membership No: _____	Organization: _____
Department: _____	Position: _____
E-mail: _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No: _____
Mailing Address: _____ <small>(Please provide a mailing address if you want to collect the programme certificate)</small>	

Payment Method: A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card: VISA Master Amount: HK\$ _____

Cardholder's Name: _____ Signature: _____

Card No.: _____ Expiry Date: _____ (mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify) _____
 Banking Today Friends / Colleagues HKIB Counter

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Members will have priority in registration for those programmes with limited seats.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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