



Managing Operational Risk in Banking Context

27 September 2019



HKIB CPD	SFC CPT
3 Hours	3 Hours

Objectives

This course aims at providing participants an overview of effective operational risk management (“ORM”) with focuses on day-to-day practices and cases in banking context. The knowledge does not only help participants protect their business from damage to reputation and franchise. This also facilitates new opportunities to be pursued in a risk conscious and controlled environment.

Programme Outline

- Building blocks of ORM framework
- Risk event categorization and business line mapping
- Three ORM Tools:
 - Risk and Control Self-Assessment (RCSA)
 - Key Risk Assessment (KRA) and Key Risk Indicator (KRI)
 - Loss and Loss Event Database (Management and Reporting)
- How operational risk is managed with three lines of defense and recent approaches
- Basel Committee (BIS) requirements summary
- Risk awareness and culture

Target Audience

- Bank Executives who wish to understand the key concepts and trend in operational risk management
- Banking or financial services practitioners who wish to develop their knowledge in operational risk management

Speaker

The speaker has worked as bank supervisor and consultant establishing operational risk framework and practice to meet capital standard in Hong Kong and China. She has hands-on experience in banking operations and ORM implementation. The speaker holds professional qualification of Juris Doctor, Master of Science in Finance and Bachelor of Social Science (Hons). She is also a certified Financial Risk Manager (FRM) and Chartered Financial Analyst (CFA).

Programme Details

Language	: Cantonese
Date	: 27 September 2019 (Friday)
Time	: 7:00pm - 10:00pm
Duration	: 3 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)
Fee	: HK\$900 (HKIB Individual Member) HK\$1,080 (Staff of Corporate Member) HK\$1,200 (Non-member)
Enrolment	: By fax at 2682 0218 or in person to our institute
Application Email	: application.form@hkib.org
Enquiries	: (852) 2153 7800 / (852) 2190 7060
Application Deadline	: 20 September 2019 (Fri)
Enquiry Email	: programme@hkib.org

Registration Form

Managing Operation Risk in Banking Context (HD000000P190925)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	
Payment Method :	<input type="checkbox"/> A cheque / e-Cheque* made payable to “The Hong Kong Institute of Bankers” (Cheque no.: _____)
	* For e-Cheque, please state the programme code under ‘remarks’ and email together with the completed enrolment form to programme@hkib.org
<input type="checkbox"/> Credit Card : <input type="checkbox"/> VISA <input type="checkbox"/> Master	Amount : HK\$ _____
Cardholder’s Name : _____	Signature : _____
Card No. : _____	Expiry Date : _____ <small>(mm/yy)</small>

How did you know about this programme?

- Website
 HR Department
 E-News
 Others (Please specify)
- Friends / Colleagues
 Banking Today
 HKIB Counter

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a ‘first-come-first-serve’ basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the “Certificate of Attendance” to participants who have complied with the “HKIB Attendance Policy”. The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB’s website (www.hkib.org).



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