



# FinTech Risk Management in Banking

23 August 2019



HKIB CPD	SFC CPT
2 Hours	2 Hours

## Objectives

Upon completion of this workshop, the participants will be able to enhance subject matter knowledge in financial technology and virtual banking including

- Discuss the latest operational risk development in Fintech
- Discuss practical issue and working examples

## Programme Outline

- Regulatory Regime in Hong Kong and in major global markets
- Customer Data Privacy and principle in Virtual Bank
- Lessons learned from failed FinTech applications
- Remote KYC trend analysis and regulatory challenges

## Target Audience

This class is for banking executives and practitioners of all divisions. Audience can expect intermediate depth of knowledge in various FinTech topics.

## Speaker

The speaker has 20 years of compliance and technology experience in financial services. He has led strategic projects in data governance, regulatory compliance, risk management and big data & analytics in major international investment banks, regional banking groups, and he took Chief Data Officer and Chief Risk Officer role in a fintech company. The speaker is a holder of Financial Risk Manager (FRM), a Certified Management Accountant (CMA). He has earned a Master of Laws (LLM), Master of Business Administration (MBA), and a Bachelor of Engineering in Computer System.

## Programme Details

Language	: Cantonese (supplemented with English materials)
Date	: 23 August 2019 (Fri)
Time	: 7:00pm – 9:00pm
Duration	: 2 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)
Fee	: HKD600 (HKIB Individual Member) HKD720 (Staff of Corporate Member) HKD800 (Non-member)
Enrollment	: By fax at 2682 0218 or Submit to our Institute in person
Enquiries	: (852) 2190 0760 / (852) 2153 7800
Email	: programme@hkib.org

## Registration Form

FinTech Risk Management in Banking (HD000000P190809)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please provide a mailing address if you want to collect the programme certificate)</small>	

Payment Method :	<input type="checkbox"/> A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
	* For e-Cheque, please state the <b>programme code</b> under 'remarks' and email together with the <b>completed enrolment form</b> to <b>programme@hkib.org</b>
	<input type="checkbox"/> Credit Card : <input type="checkbox"/> VISA <input type="checkbox"/> Master
Cardholder's Name : _____	Amount : HK\$ _____
Card No. : _____	Signature : _____
	Expiry Date : _____ <small>(mm/yy)</small>

## How did you know about this programme?

<input type="checkbox"/> Website	<input type="checkbox"/> HR Department	<input type="checkbox"/> E-News	<input type="checkbox"/> Others (Please specify) _____
<input type="checkbox"/> Friends / Colleagues	<input type="checkbox"/> Banking Today	<input type="checkbox"/> HKIB Counter	

### Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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