



New SFC Update on Acceptable Approaches for Remote Client On-boarding in HK

14 November 2019

Early Bird Discount
1 week before the
application deadline

HKIB CPD	SFC CPT
3 Hours	3 Hours

Programme Objectives

After completion of the course, the participants shall have good understanding on recent SFC update on acceptable approaches for remote client on-boarding in Hong Kong.

Programme Outline

- What are SFC recent updates on requirements for online account opening by licensed corporations?
- What are the key changes as compared to previous requirements on online account opening approaches?
- What is the acceptable account opening approaches by SFC?
- What is the new approach for the online onboarding of overseas clients?
- What is the list of eligible jurisdictions for remote onboarding of overseas individual clients?
- What are the implications for intermediaries after the new update on online client onboarding?
- Discussion of FAQ issued by SFC on online account opening
- Group discussion / sharing on client onboarding issues / difficulties faced by participants

Target Audience

Licensed representatives of licensed corporations, employees of financial institution and licensed corporations.

Banking practitioners who want to enhance their knowledge on this area and other participants who are interested for the captioned subject.

Speaker

The speaker has more than 20 years of experience working in the financial services industry and professional legal and accounting firms and involved in various functions such as risk, credit, accounting, legal and compliance of several corporate, commercial and investment banks. He was previously the head of credit control of a reputable corporate and commercial bank in Hong Kong. Currently, he is the regional legal counsel of a global corporate and investment bank. He is a qualified lawyer in Hong Kong and England, a CPA in Hong Kong and England, an associate member of HKIB and chartered banker of the Institute of Bankers in Scotland. He has substantial experience in delivering seminars and training in universities and professional institutes.

Programme Details

Language	: English
Date	: 14 November 2019 (Wed)
Time	: 7:00pm – 10:00pm
Duration	: 3 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee	:	Early Bird	Regular Price
Individual Member	:	HKD 1,200	HKD 1,320
Staff of Corporate Member	:	HKD 1,400	HKD 1,540
Non-member	:	HKD 1,600	HKD 1,760

Enrolment	:	By fax at 2682 0218 or in person to our Institute
Application Email	:	application.form@hkib.org
Enquiries	:	(852) 2153 7800 or (852) 2190 7060
Application Deadline	:	7 November 2019 (Wed)
Enquiry Email	:	programme@hkib.org

Registration Form

New SFC Update on Acceptable Approaches for Remote Client On-boarding in HK (HD000000P191120)

Full Name: Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name: _____ <small>(In Chinese)</small>
Membership No: _____	Organization: _____
Department: _____	Position: _____
E-mail: _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No: _____
Mailing Address: _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	

Payment Method:	<input type="checkbox"/> Crossed cheque made payable to The Hong Kong Institute of Bankers (Cheque no.: _____)	Amount: HKD _____
	<input type="checkbox"/> Credit Card: <input type="checkbox"/> VISA <input type="checkbox"/> Master	Signature: _____
Cardholder's Name: _____	Expiry Date: _____ <small>(mm/yy)</small>	
Card No.: _____		

How did you know about this programme?

- Website HR Department Friends / Colleagues E-News HKIB Counter Others (Please specify) _____

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
5. HKIB will issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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