



AML/CFT in Private Banking

17 February 2020

Early Bird Discount
1 week before the
application deadline

ECF AML CPD	HKIB CPD	SFC CPT	PWMA OPT
3 Hours	3 Hours	3 Hours	3 Hours

Objectives

Upon completion of the programme, the participants will be able to:

- Enhance the knowledge of AML/CFT
- Apply the international standards on AML/CFT
- Identify and report the suspicious activities

Programme Outline

- AML Regulations vs. STR
 - Money Laundering and Terrorist Financing
 - Oversight of the AMLO, relevant rules and guidelines
 - Indicators of suspicious activities commonly encountered
 - Suspicious Transaction Reporting and Joint Financial Intelligence Unit Recent regulatory enforcement actions
- KYC, CDD, POA
 - Risk Based Approach (RBA)
 - Know Your Client (KYC) and Customer Due Diligence (CDD)
 - Politically Exposed Persons (PEP)
 - Key elements of an effective AML Program
 - Case studies

Target Audience

AAMLPs, CPWPs and practitioners from private banking department who wish to enhance their knowledge on the AML/CFT and any participants who are interested.

Speaker

The speaker is currently the Principal Consultant in a consultancy firm and a trainer of HKIB. Prior to being the Executive Director of a well-known Chinese bank, the speaker has worked in the field of private wealth management, capital market and treasury for years. The speaker has a postgraduate and a bachelor degree, together with four professional qualifications: Msc in Financial Management awarded by University of London, BA (Hons) awarded by University of Hong Kong, Financial Risk Manager (FRM), Certified Management Accountant (CMA), Certified Private Wealth Professional (CPWP) and Certified Anti-Money Laundering Specialist (CAMS).

Programme Details

Language	:	English
Date	:	17 February 2020 (Mon)
Time	:	7:00pm - 10:00pm
Duration	:	3 hours
Venue	:	3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee	Early Bird	Regular Price
Individual Member	HKD900	HKD990
Staff of Corporate Member	HKD1,080	HKD1,190
Non-member	HKD1,200	HKD1,320

Enrolment	:	By fax at 2682 0218 or in person to our Institute
Application Email	:	application.form@hkib.org
Enquiries	:	(852) 2153 7800 / (852) 2190 7060
Application Deadline	:	10 February 2020 (Mon)
Enquiry Email	:	programme@hkib.org

Registration Form

AML/CFT in Private Banking (HD000000P200201)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	

Payment Method : A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no.: _____)

* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HK\$ _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____

How did you know about this programme?

- | | | | |
|--|---|---------------------------------------|--|
| <input type="checkbox"/> Website | <input type="checkbox"/> HR Department | <input type="checkbox"/> E-News | <input type="checkbox"/> Others (Please specify) |
| <input type="checkbox"/> Banking Today | <input type="checkbox"/> Friends / Colleagues | <input type="checkbox"/> HKIB Counter | _____ |

Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the ["HKIB Attendance Policy"](#). The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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