

Enjoy a 10% discount off for enrolling 2 or more modules in one time

ECF CPD IA CPD HKIB CPD SFC CPT PWMA OPT

Programme Objectives & Outlines

Programme	Type	Objectives	Outlines	
Risk Management and Investment Services for Corporate Client (Ref. #: 80/74/14)				
Application of Credit Risk Assessment (HD000000P190110)	5 Hours	HKIB CPD	<ul style="list-style-type: none"> To let the participants to understand the importance of Credit Risk Grading & Business Scorecards for credit assessment of corporate client 	<ul style="list-style-type: none"> Credit Risk Grading (CRG) Application of CRG The existing loan classification under the Hong Kong Monetary Authority (HKMA)'s guideline The practical use of CRG and Business Scorecards in assessing risk
		SFC CPT		
		IA CPD		
Capturing Business Opportunities in Foreign Exchange and Money Market (HD000000P190115)	5 Hours	HKIB CPD	<ul style="list-style-type: none"> To provide participants with an understanding of the financial instruments, basic calculation and market convention of foreign exchange and money market products. It also equips the participants with the skills on how to hedge and profit from foreign exchange 	<ul style="list-style-type: none"> Foreign Exchange Market Forward Market Options Market and trading strategies Money Market Managing Interest Rate Risk
		SFC CPT		
		IA CPD		
General Credit Risk Management (HD000000P190207)	5 Hours	HKIB CPD	<ul style="list-style-type: none"> To equip the participants with the Fundamental concept of the general credit risk management principles which are essential for credit assessment 	<ul style="list-style-type: none"> General Principles of Credit Risk Management Credit process Effective systems for credit administration, measurement and monitoring Problem credit management and Regulatory guidelines Basel II
		SFC CPT		
		IA CPD		
Financial Planning Foundation Program (Ref. #: 80/74/06)				
Trend and Development of the Banking and Financial Services (HD000000P190111)	2 Hours	HKIB CPD	<ul style="list-style-type: none"> Understand the changing roles of banks in the financial services industry Understand the regulatory framework of banks and financial services in Hong Kong and Mainland China Identify the major trends and developments in global banking and financial services areas and underlying driving focus Acquire knowledge on the changing landscape of banking and financial services in Hong Kong and Mainland China 	<ul style="list-style-type: none"> Introduction of Banks Understanding the Trends of Banking and Financial Services Understanding the Banking and Financial Services in the market of Hong Kong and China
		SFC CPT		
		IA CPD		
Overview on Banking Products and Services and Introduction to Risk Management (HD000000P190112)	2 Hours	HKIB CPD	<ul style="list-style-type: none"> Gain a good understanding in banking products and services including the recent development Obtain a basic knowledge on types of risk that are inherent in the financial services industry 	<ul style="list-style-type: none"> Introduction of Banking Products and Services Investment Banking, Corporate Banking, Commercial Banking, Treasury Concept of Risk Management
		SFC CPT		
		IA CPD		
		ECF CPD		
Introduction to Banking System and Structure (HD000000P190113)	3 Hours	HKIB CPD	<ul style="list-style-type: none"> Understand the key features of the banking system and structure in Hong Kong Understand the nature of the relationship between banker and customer, the duties of a banker, and the relevant requirements of the code of banking practice Understand the circumstances in which the relationship between banker and customer may be terminated 	<ul style="list-style-type: none"> Relationship between banker and customer Types of Account and account holders Determination of contract
		SFC CPT		
		IA CPD		
Refresher of Ethic and Code of Conduct for Bankers (HD000000P190114)	3 Hours	HKIB CPD	<ul style="list-style-type: none"> Understand a banker's duty of secrecy and exceptions of this duty at common law Understand ethics and code of conducts for a banker 	<ul style="list-style-type: none"> Importance of Code of Banking Practice Banker's Duty of Secrecy Review of Ethic and Code of Conduct
		SFC CPT		
		IA CPD		
		ECF CPD		
		CPD		

Enjoy a 10% discount off for enrolling 2 or more modules in one time

ECF CPD IA CPD HKIB CPD SFC CPT PWMA OPT

Understanding on the Tax Administration (HD000000P190208)	5 Hours	HKIB CPD SFC CPT IA CPD ECF CPD	<ul style="list-style-type: none"> Understand taxing system operation in Hong Kong including tax assessment and rights and obligations of taxpayer Understand the operation and authority of the Inland Revenue Department 	<ul style="list-style-type: none"> Introduction to the process of Tax Administration Responsibility of Taxpayer Tax Assessment Inland Revenue Department (IRD) Appeal of Tax Assessment
Development of the Fund and Asset Management (HD000000P190209)	2 Hours	HKIB CPD SFC CPT IA CPD ECF CPD PWMA OPT	<ul style="list-style-type: none"> Acquire general knowledge of fund and asset management Acquire general knowledge on investment planning strategies and process Acquire general knowledge of regulatory framework for Fund and Asset management industry in Hong Kong 	<ul style="list-style-type: none"> Investment Planning Portfolio Management Fund Investment Regulator of Fund and Asset Management Industry in HK
Overview of Hong Kong Taxation System (HD000000P190211)	5 Hours	HKIB CPD SFC CPT IA CPD ECF CPD	<ul style="list-style-type: none"> Understand the characteristics of current taxation system of Hong Kong and Mainland China Understand the relationship between tax planning and estate planning 	<ul style="list-style-type: none"> Introduction of HK Taxation System Law and Agreement Trend of Taxation System in Hong Kong and International Practice Development of Taxation
Framework of Fund and Asset Management (HD000000P190210)	2 Hours	HKIB CPD SFC CPT IA CPD ECF CPD PWMA OPT	<ul style="list-style-type: none"> Understand the regulatory framework of the Fund and Asset management industry in Hong Kong Understand the obligations of related parties in the Fund and Asset management industry 	<ul style="list-style-type: none"> Framework of Fund and Asset Management Regulation of Fund and Asset Management Legal Aspects Obligations of fund managers and Trustees/Custodians
Practical Understanding on Fund and Asset Management (HD000000P190311)	3 Hours	HKIB CPD SFC CPT IA CPD ECF CPD PWMA OPT	<ul style="list-style-type: none"> Understand features and characteristics of different kinds of investment products Acquire general knowledge on the procedure and pricing of fund trading 	<ul style="list-style-type: none"> Introduction to Fund Risk Management of Fund Introduction to Bond Other Type of Traditional Fund and Derivatives Procedure and Pricing of Fund Trading
Understanding on Portfolio Management (HD000000P190312)	3 Hours	HKIB CPD SFC CPT IA CPD ECF CPD PWMA OPT	<ul style="list-style-type: none"> Understand the basic concepts of portfolio with risk and return Understand the theory of portfolio management 	<ul style="list-style-type: none"> Key Terms of Portfolio Management Calculation of Investment Portfolio Theory of Portfolio Management
Analysis of the Financial Planning Process (HD000000P190313)	4 Hours	HKIB CPD SFC CPT IA CPD ECF CPD PWMA OPT	<ul style="list-style-type: none"> Introduce stages of financial planning and the process with TRUST Model Understand the role of financial planner in the financial planning process 	<ul style="list-style-type: none"> Introduction to the trend and development of Financial Planning Process Stages of Financial Planning Financial Planning Process Wealth Management Role of Financial Planners
Communication Process for Building Trust Relationship (HD000000P190314)	3 Hours	HKIB CPD SFC CPT IA CPD ECF CPD	<ul style="list-style-type: none"> Understand the communication process Acquire knowledge on communication skills and effective communication skills for building customer relationship 	<ul style="list-style-type: none"> Elements and Steps of Effective Communication Types of Communication Advantage of Effective Communication Building Relationship
Process of Recognizing Financial Position and Goals (HD000000P190315)	3 Hours	HKIB CPD SFC CPT IA CPD ECF CPD	<ul style="list-style-type: none"> Recognize financial position and goals in the financial planning process Acquire in-depth knowledge and skills in identifying clients' needs and making a deal Self-assess to be a successful financial planner 	<ul style="list-style-type: none"> Importance of Recognizing Financial Position and Goals Process of Interview Skills to understand clients Making a Deal Effective Financial Planners



IA CPD Programme Series

January – March 2019

Enjoy a 10% discount off for enrolling 2 or more modules in one time

ECF CPD IA CPD HKIB CPD SFC CPT PWMA OPT

Programme Details

Language : Cantonese (supplemented with English materials)
Date : January – March 2019
E-mail : programme@hkib.org
Enquiry Hotline : (852) 2190 7056
Enrolment : By fax at (852) 2682 0218 / In person to our Institute

Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central, Sheung Wan
Special Offer : **Enroll 2 or more programmes in one time, enjoy a 10% discount off**

Registration Form

		Programmes	Time	Course Fees (Regular Price/ Discount Price)
Risk Management and Investment Services for Corporate Client (Ref. #: 80/74/14)	<input type="checkbox"/>	Application of Credit Risk Assessment (HD000000P190110)	5 Hours 18 Jan 2019 (Fri) 7:00pm – 10:00pm 25 Jan 2019 (Fri) 7:00pm – 9:00pm	Programme Price by Training Hour <input type="checkbox"/> Individual Member ___ Training Hour(s) x HKD300 = HKD _____ <input type="checkbox"/> Staff of Corporate Member ___ Training Hour(s) x HKD360 = HKD _____ <input type="checkbox"/> Non-member ___ Training Hour(s) x HKD400 = HKD _____ Enroll 2 or more Programmes ***Discount Price <input type="checkbox"/> Individual Member ___ Training Hour(s) x HKD270 = HKD _____ <input type="checkbox"/> Staff of Corporate Member ___ Training Hour(s) x HKD324 = HKD _____ <input type="checkbox"/> Non-member ___ Training Hour(s) x HKD360 = HKD _____
	<input type="checkbox"/>	Capturing Business Opportunities in Foreign Exchange and Money Market (HD000000P190115)	5 Hours 22 Jan 2019 (Fri) 7:00pm – 10:00pm 29 Jan 2019 (Fri) 7:00pm – 9:00pm	
	<input type="checkbox"/>	General Credit Risk Management (HD000000P190207)	5 Hours 1 Feb 2019 (Fri) 7:00pm – 10:00pm 8 Feb 2019 (Fri) 7:00pm – 9:00pm	
Financial Planning Foundation Program (Ref. #: 80/74/06)	<input type="checkbox"/>	Trend and Development of the Banking and Financial Services (HD000000P190111)	2 Hours 4 Jan 2019 (Fri) 7:00pm – 9:00pm	
	<input type="checkbox"/>	Overview on Banking Products and Services and Introduction to Risk Management (HD000000P190112)	2 Hours 11 Jan 2019 (Fri) 7:00pm – 9:00pm	
	<input type="checkbox"/>	Introduction to Banking System and Structure (HD000000P190113)	3 Hours 19 Jan 2019 (Sat) 2:00pm – 5:00pm	
	<input type="checkbox"/>	Refresher of Ethic and Code of Conduct for Bankers (HD000000P190114)	3 Hours 22 Jan 2019 (Tue) 7:00pm – 10:00pm	
	<input type="checkbox"/>	Understanding on the Tax Administration (HD000000P190208)	5 Hours 2 Feb 2019 (Sat) 2:00pm – 5:00pm 9 Feb 2019 (Sat) 2:00pm – 4:00pm	
	<input type="checkbox"/>	Development of the Fund and Asset Management (HD000000P190209)	2 Hours 12 Feb 2019 (Tue) 7:00pm – 9:00pm	
	<input type="checkbox"/>	Overview of Hong Kong Taxation System (HD000000P190211)	5 Hours 18 Feb 2019 (Tue) 7:00pm – 10:00pm 25 Feb 2019 (Tue) 7:00pm – 9:00pm	
	<input type="checkbox"/>	Framework of Fund and Asset Management (HD000000P190210)	2 Hours 22 Feb 2019 (Fri) 7:00pm – 9:00pm	
	<input type="checkbox"/>	Practical Understanding on Fund and Asset Management (HD000000P190311)	3 Hours 2 Mar 2019 (Sat) 2:00pm – 5:00pm	
	<input type="checkbox"/>	Understanding on Portfolio Management (HD000000P190312)	3 Hours 9 Mar 2019 (Sat) 10:00am – 1:00pm	
<input type="checkbox"/>	Analysis of the Financial Planning Process (HD000000P190313)	4 Hours 15 & 22 Mar 2019 (Fri) 7:00pm – 9:00pm		
<input type="checkbox"/>	Communication Process for Building Trust Relationship (HD000000P190314)	3 Hours 26 Mar 2019 (Tue) 7:00pm – 10:00pm		
<input type="checkbox"/>	Process of Recognizing Financial Position and Goals (HD000000P190315)	3 Hours 30 Mar 2019 (Sat) 2:00pm – 5:00pm		



IA CPD Programme Series

January – March 2019



Enjoy a 10% discount off for enrolling 2 or more modules in one time

ECF CPD	IA CPD	HKIB CPD	SFC CPT	PWMA OPT
---------	--------	----------	---------	----------

Full Name : Mr / Ms _____ (In English as on ID Card) Full Name : _____ (In Chinese)

Membership No : _____ Organization : _____

Department : _____ Position : _____

E-mail : _____ Mobile / Phone No : _____
(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : _____
(Please provide a mailing address if you want to collect the programme certificate)

Payment Method : Crossed cheque made payable to **The Hong Kong Institute of Bankers** (Cheque no.: _____)
* For e-Cheque, please state the **programme code** under 'remarks' and email together with the **completed enrolment form** to **programme@hkib.org**

Credit Card : VISA Master Amount : HKD _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

Website HR Department E-News Others (Please specify) _____

Friends / Colleagues Banking Today HKIB Counter

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a "first-come-first-serve" basis. HKIB Member will have priority to register if there is limited seat remained for the programme.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



Please visit HKIB website for more public programmes