



Anti-Bribery and Corruption programme for banks and financial institutions

[Virtual Classroom] 16 June 2020

Early Bird Discount
1 week before the application deadline

ECF CPD	HKIB CPD	SFC CPT
3	3	3
Hours	Hours	Hours

Objective

International Monetary Fund recently estimated that corruption amounted to roughly 2% of global economic output — between \$1.5 and \$2 trillion globally. International laws including US FCPA, UK Anti-bribery and Corruption Act and EU 4th Directive also imposed corporate criminal and civil liability on institutions who failed to impose a proper Anti-Bribery and Corruption (ABC) Program. After all, institutions that find themselves embroiled in bribery scandals face a host of consequences, including business disruption, steep financial and legal costs, and harm to their reputation.

After the completion of the programme, participants will be able to:

- Understand concept of ABC and overview of relevant laws and regulatory expectation globally and locally
- Identify the key risk area in financial institution related to ABC
- Understand what the key elements of an ABC Program are
- Develop a framework and control plan to prevent, detect and deter corruption

Programme Outline

- What is Bribery and Corruption? Background and evolution of relevant laws, regulation and expectation globally and locally.
- Case Studies on Key Corruption cases impacting financial institutions
- Key risk area for financial institution related to ABC
- ABC Framework and Control Plan

Target Audience

The course is designed for all who have a personal or professional interest in understanding the topic of Anti-Bribery and Corruption related to a Financial Institutional setting and understand what the practical steps are, in order to mitigate such risk.

Speaker

The speaker of this course has a total of over 20 years of professional experience in Financial Crime Compliance. He is currently Regional Head of Financial Crime Compliance including its Anti-Bribery and Corruption Program in a major European Investment Bank. He has been holding senior roles in AML/FCC within different US/European based major banks with extensive experience in dealing with US/European and local regulators.

Programme Details

Language : Cantonese (supplemented with English materials)
 Date : 16 June 2020 (Tue)
 Time : 7:00pm – 10:00pm
 Duration : 3 hours
 Delivery Mode : **Virtual Classroom** via Zoom

Fee :	Early Bird	Regular Price
Individual Member	HKD 900	HKD 990
Staff of Corporate Member	HKD 1,080	HKD 1,190
Non-member	HKD 1,200	HKD 1,320

Enrolment : By email or submit to our Institute in person
 Application Email : application.form@hkib.org
 Application : 9 June 2020 (Tue)
 Deadline Enquiries : (852) 2153 7800 / (852) 2190 7060
 Enquiry Email : programme@hkib.org

Registration Form

Anti-Bribery and Corruption programme for banks and financial institutions (HD000000P200508)

Full Name : Mr / Ms _____ (In English as on ID Card) Full Name : _____ (In Chinese)

Membership No : _____ Organization : _____

Department : _____ Position : _____

E-mail : _____ Mobile No : _____

Mailing Address : _____ HKID No : _____

Payment Method : A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
 * For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HK\$ _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify) _____
- Banking Today Friends / Colleagues HKIB Counter

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail at least 5 working days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a "first-come-first-serve" basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The e-Certificate will be sent to your email within 15 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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