



Global AML Enforcement Trends and Alarms – Implications for the Banking Industry

Early Bird Discount
2 weeks before the
application deadline

24 September 2019

ECF/AML CPD	HKIB CPD	SFC CPT
3 Hours	3 Hours	3 Hours

Background

In recent years, the global AML enforcement trends and alarms keep on evolving which brings the banking industry significant implications (e.g. increasingly tough regulatory environment, surge in compliance costs, rapid development and vast application of regulatory technologies (RegTech), etc). These implications will continuously reshape the landscape of the banking industry in future. As such, it is advisable for the banking practitioners, especially those in the compliance field, to always have good understanding of the latest global AML enforcement trends and their possible implications so that they can be better prepared for and cope with the compliance challenges ahead. This programme will also include a review of the latest important developments in AML compliance and enforcement of Hong Kong.

Programme Outline

- A summary of the global AML enforcement trends and alarms for 2019 and their implications for the banking industry.
- A review of the important developments in AML compliance and enforcement of Hong Kong in 2019 and their implications for the banking industry.

Target Audience

This programme targets at the banking practitioners who wish to understand the latest global and local AML enforcement trends and alarms and their implications for the banking industry. Others who are interested in the captioned topic are also welcome to join.

Speaker

The speaker is a seasoned compliance professional with over 24 years of experience in the bank compliance field. He is an ex-bank regulator and has worked for various sizable banks in Hong Kong, including the note-issuing banks, as the compliance chief and/or Section 72B Manager in charge of compliance function. He is a subject matter expert in Regulatory Compliance, Financial Crime Compliance and Transaction Banking Compliance, and is well versed in the local financial laws and regulations and data protection law. He was awarded two Bachelor's degrees, namely in Building and in Accountancy.

Programme Details

Language : Cantonese (supplemented with English materials)
 Date : 24 September 2019 (Tue)
 Time : 7:00pm - 10:00pm
 Duration : 3 hours
 Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee	Early Bird	Regular Price
HKIB Individual Member	HKD 900	HKD 990
Staff of Corporate Member	HKD 1,080	HKD 1,180
Non-member	HKD 1,200	HKD 1,320

Enrolment : By fax at (852) 2682 0218 or submit to our Institute in person
 Application Email : application.form@hkib.org
 Enquiries : (852) 2153 7800 / (852) 2190 7060
 Application Deadline : 17 September 2019 (Tue)
 Enquiry Email : programme@hkib.org

Registration Form

Global AML Enforcement Trends and Alarms – Implications for the Banking Industry (HD000000P190927)

Full Name : Mr / Ms _____
(In English as on ID Card)

Membership No : _____

Department : _____

E-mail : _____
(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : _____

Full Name : _____
(In Chinese)

Organisation : _____

Position : _____

Mobile / Phone No : _____

Payment Method : A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HKD _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____
(mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify) _____
- Banking Today Friends / Colleagues HKIB Counter _____

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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