



New SFC update on acceptable approaches for remote client on-boarding in HK

[Virtual Classroom] 15 June 2020



ECF ¹	HKIB	SFC
CPD	CPD	CPT
3	3	3
Hours	Hours	Hours

Objectives

After completion of the course, the participants shall have good understanding on recent SFC update on acceptable approaches for remote client on-boarding in Hong Kong.

Programme Outline

- What are SFC recent updates on requirements for online account opening by licensed corporations?
- What are the key changes as compared to previous requirements on online account opening approaches?
- What is the acceptable account opening approaches by SFC?
- What is the new approach for the online onboarding of overseas clients?
- What is the list of eligible jurisdictions for remote onboarding of overseas individual clients?
- What are the implications for intermediaries after the new update on online client onboarding?
- Discussion of FAQ issued by SFC on online account opening
- Group discussion / sharing on client onboarding issues / difficulties faced by participants

Target Audience

Licensed representatives of licensed corporations, employees of financial institution and licensed corporations. Banking practitioners who want to enhance their knowledge on this area and other participants who are interested for the captioned subject.

Speaker

The speaker has more than 20 years of experience working in the financial services industry and professional legal and accounting firms and involved in various functions such as risk, credit, accounting, legal and compliance of several corporate, commercial and investment banks. He was previously the head of credit control of a reputable corporate and commercial bank in Hong Kong. Currently, he is the regional legal counsel of a global corporate and investment bank. He is a qualified lawyer in Hong Kong and England, a CPA in Hong Kong and England, an associate member of HKIB and chartered banker of the Institute of Bankers in Scotland. He has substantial experience in delivering seminars and training in universities and professional institutes.

Programme Details

Language : English
 Date : 15 June 2020 (Mon)
 Time : 7:00pm – 10:00pm
 Duration : 3 hours
 Delivery Mode : **Virtual Classroom** via Zoom

Fee :	Price
Individual Member	HKD 1,320
Staff of Corporate Member	HKD 1,540
Non-member	HKD 1,760

Enrollment : By email or in person to our Institute
 Application Email : application.form@hkib.org
 Application deadline : 8 June 2020 (Mon)
 Enquiries : (852) 2153 7800 / (852) 2190 7060
 Email : programme@hkib.org

Note 1 : ECF CPD includes CPD for ARWP, CRWP and ACsP designation holders

Registration Form

New SFC update on acceptable approaches for remote client on-boarding in HK (HD000000P200612)

Full Name: Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name: _____ <small>(In Chinese)</small>
Membership No: _____	Organization: _____
Department: _____	Position: _____
E-mail: _____	Mobile No: _____
Mailing Address: _____	HKID No: _____

Payment Method: A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
 * For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card: VISA Master Amount: HK\$ _____
 Cardholder's Name: _____ Signature: _____
 Card No.: _____ Expiry Date: _____
(mm/yy)

How did you know about this programme?

Website HR Department E-News Others
 Friends / Colleagues Banking Today HKIB Counter (Please specify) _____

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail at least 5 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The E-Certificate will be sent to your email within 15 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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