



# AML/CFT Refresher Training

23 September 2019



ECF/AML CPD	HKIB CPD	SFC CPT
3 Hours	3 Hours	3 Hours

## Objectives

Upon the completion of the programme, the participants will be able to:

- Enhance the knowledge in money laundering, trade-based money laundering as well as terrorist financing
- Understand the integrated internal control framework
- Identify and report the suspicious activities.

## Programme Outline

- FATF mutual evaluation of Hong Kong
- Recent AML/CFT updates
  - International updates
  - Hong Kong updates
- Recent regulatory enforcement actions
- Recent findings of regulatory inspections
- Latest key AML focus by the HKMA
  - Sanctions
  - Transaction monitoring

## Target Audience

Banking practitioners especially AAMLPS who wish to enhance their knowledge on the AML/CFT and any participants who are interested.

## Speaker

The speaker is currently regional head of Financial Crime Compliance of Natixis, corporate investment banking arm of the 2nd largest banking group, BPCE. The trainer is a senior management with over 15 years' of experience in Compliance and Risk management focusing in Financial Crime including AML, Sanctions, Anti-bribery & Corruption, Fraud and Governance. He previously also covers area of general compliance including physical, information security, crisis management and data privacy. The speaker is an experienced leader in both public bodies and top tier global banks with legal qualifications, reputable market practitioner, speaker and lecturer for both public and academic.

## Programme Details

Language	: English (supplemented with English materials)
Date	: 23 September 2019 (Mon)
Time	: 7:00pm - 10:00pm
Duration	: 3 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)
Fee	: HKD900 (HKIB Individual Member) HKD1,080 (Staff of Corporate Member) HKD1,200 (Non-member)
Enrollment	: By fax at 2682 0218 or Submit to our Institute in person
Application Email	: application.form@hkib.org
Enquiries	: (852) 2153 7800 / (852)2190 7060
Application Deadline	: 16 September 2019 (Mon)
Enquiry Email	: programme@hkib.org

## Registration Form

AML/CFT Refresher Training (HD000000P190917)

Full Name : Mr / Ms <small>(In English as on ID Card)</small>	_____	Full Name : <small>(In Chinese)</small>	_____
Membership No :	_____	Organisation :	_____
Department :	_____	Position :	_____
E-mail :	_____	Mobile / Phone No :	_____

(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : \_\_\_\_\_

(Please complete the below address box if you want to collect the programme certificate)

Payment Method :  A cheque / e-Cheque\* made payable to "The Hong Kong Institute of Bankers" (Cheque no.: \_\_\_\_\_)

\* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card :  VISA  Master      Amount : HKD \_\_\_\_\_

Cardholder's Name : \_\_\_\_\_      Signature : \_\_\_\_\_

Card No. : \_\_\_\_\_      Expiry Date : \_\_\_\_\_ (mm/yy)

## How did you know about this programme?

- Website       HR Department       E-News       Others (Please specify) \_\_\_\_\_  
 Banking Today       Friends / Colleagues       HKIB Counter

## Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



← Please visit HKIB website for more public programmes