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IA CPD HKIB CPD SFC CPT

Programme Objectives & Outlines

| Programme | Objectives | Outlines |
|----------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Financial System and Risk Management (Ref. #: 80/74/23) | | |
| Overview of Financial System and Risk Management Process (HD000000P191107) 7 November 2019 (Thu) 7:00pm – 9:00pm | 2 Hours <ul style="list-style-type: none"> Identify the role of Financial Institution and Central Bank Assess the different roles performed by financial institutions Identify and oversee the different types of risks | <ul style="list-style-type: none"> Role of Financial Institution Function of Central Bank Introduction of Risk Management Process and Principle of Risk Management |
| Regulatory Requirements of Risk Management (HD000000P191108) 8 November 2019 (Fri) 7:00pm – 10:00pm | ECF AML/CFT CPD 3 Hours <ul style="list-style-type: none"> Understand how risk management influence doing business Understand the global standard on risk management | <ul style="list-style-type: none"> Regulatory Requirement for Banks Risk Governance Risk Management Under Global Standard Basel committee |
| Structuring Risk Management Functions (HD000000P191109) 9 November 2019 (Sat) 10:00am – 1:00pm | ECF AML/CFT CPD 3 Hours <ul style="list-style-type: none"> Explain the rationale for financial regulation Understand the function of Financial and Audit departments Access the risk management tool | <ul style="list-style-type: none"> Organization of risk management functions Risk Management Tools Risk Categories in Global Bank |



IA CPD Programme Series

November 2019

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Programme Details

Language : Cantonese (supplemented with English materials)
 Date : November 2019
 Enquiry E-mail : programme@hkib.org
 Enquiry Hotline : (852) 2153 7800
 Enrolment : By email application.form@hkib.org
 By fax at (852) 2682 0218 / In person to our Institute

Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central
 Enrollment Deadline : 7-days prior to course commencement
 Special Offer : **Enroll 2 or more programmes in one time, enjoy a 10% discount off**

Registration Form

| Programmes | | Time | Course Fees (Regular Price/ Discount Price) |
|------------------------------------------------------------|-----------------------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Financial System and Risk Management (Ref. #: 80/74/23) | <input type="checkbox"/> Overview of Financial System and Risk Management Process (HD000000P191107) | 2 Hours 7 November 2019 (Thu) 7:00pm – 9:00pm | Programme Price by Training Hour <input type="checkbox"/> Individual Member ___ Training Hour(s) x HKD300 = HKD ___ <input type="checkbox"/> Staff of Corporate Member ___ Training Hour(s) x HKD360 = HKD ___ <input type="checkbox"/> Non-member ___ Training Hour(s) x HKD400 = HKD ___ Enroll 2 or more Programmes ***Discount Price <input type="checkbox"/> Individual Member ___ Training Hour(s) x HKD270 = HKD ___ <input type="checkbox"/> Staff of Corporate Member ___ Training Hour(s) x HKD324 = HKD ___ <input type="checkbox"/> Non-member ___ Training Hour(s) x HKD360 = HKD ___ |
| | <input type="checkbox"/> Regulatory Requirements of Risk Management (HD000000P191108) | 3 Hours 8 November 2019 (Fri) 7:00pm – 10:00pm | |
| | <input type="checkbox"/> Structuring Risk Management Functions (HD000000P191109) | 3 Hours 9 November 2019 (Sat) 10:00am – 1:00pm | |

Full Name : Mr / Ms _____ (In English as on ID Card) Full Name : _____ (In Chinese)
 Membership No : _____ Organisation : _____
 Department : _____ Position : _____
 E-mail : _____ Mobile / Phone No : _____
(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address : _____
(Please provide a mailing address if you want to collect the programme certificate)

Payment Method : Crossed cheque made payable to **The Hong Kong Institute of Bankers** (Cheque no.: _____)
* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org
 Credit Card : VISA Master Amount : HKD _____
 Cardholder's Name : _____ Signature : _____
 Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify) _____
 Friends / Colleagues Banking Today HKIB Counter _____

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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