



# Latest Regulatory Trends in AML/CFT

7 August 2019



ECF/AML CPD	HKIB CPD	SFC CPT
3 Hours	3 Hours	3 Hours

## Objectives

After completion of the programme, participants will:

1. Have a good understanding of the latest regulatory expectations and trends on AML/CFT
2. Translate the regulatory requirements into their work practices
3. Develop the skills to support the successful facilitation of AML/CFT policies and procedures

## Programme Outline

- An overview of the amendments to the HKMA's Guideline on Anti-money Laundering and Counter-Financing of Terrorism made in 2018 with highlights on the following issues:
  - Institutional AML/CFT risk assessment
  - Expanded category of intermediaries to which AIs are permitted to rely upon to conduct customer due diligence (CDD)
  - Principle-based guidance on risk-based approach in CDD, transaction monitoring and transaction reviews
  - Corroboration on source of wealth of PB customers
  - International organization PEP
- How the revised "Guidance Paper on Transaction Monitoring, Transaction Monitoring and STR Reporting" [May 2018] may impact the AIs suspicious transaction reporting workflow
- The regulatory expectations on CDD employed for customer remote account opening and sanction screening system
- A brief introduction of Fraud and Money Laundering Intelligence Taskforce (FMLIT)

## Target Audience

The course is designed to update the knowledge of the AML practitioners, especially, AAMLPS, on latest AML/CFT regulations and trends in Hong Kong.

## Speaker

The speaker was the Head of Compliance in different retail banks in Hong Kong and has over 20 years' working experience in the banking legal and compliance fields. She has a laws degree (LLB) and a postgraduate certificate in laws (PCLL) awarded by the University of Hong Kong and a master laws degree awarded by the City University of Hong Kong. She is a qualified lawyer in Hong Kong and a Certified Anti-Money Laundering Professional (CAMLPP).

## Programme Details

Language	: Cantonese (supplemented with English materials)
Date	: 7 August 2019 (Wed)
Time	: 7:00pm - 10:00pm
Duration	: 3 hours
Venue	: 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)
Fee	: HKD900 (HKIB Individual Member) HKD1,080 (Staff of Corporate Member) HKD1,200 (Non-member)
Enrolment	: By fax at 2682 0218 or in person to our Institute
Enquiries	: (852) 2190 0760 / (852) 2153 7800
Email	: programme@hkib.org

## Registration Form

Latest Regulatory Trends in AML/CFT (HD000000P190810)

Full Name: Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name: _____ <small>(In Chinese)</small>
Membership No: _____	Organization: _____
Department: _____	Position: _____
E-mail: _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No: _____
Mailing Address: _____	

Payment Method:	<input type="checkbox"/> A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)
	* For e-Cheque, please state the <b>programme code</b> under 'remarks' and email together with the <b>completed enrolment form</b> to <b>programme@hkib.org</b>
	<input type="checkbox"/> Credit Card: <input type="checkbox"/> VISA <input type="checkbox"/> Master
Cardholder's Name: _____	Amount: HKD _____
Card No.: _____	Signature: _____
	Expiry Date: _____ <small>(mm/yy)</small>

## How did you know about this programme?

- Website
  HR Department
  E-News
  Others (Please specify) \_\_\_\_\_
- Banking Today
  Friends / Colleagues
  HKIB Counter

### Terms and Conditions

1. All fees paid are non-refundable and non-transferrable.
2. Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
3. All payments must be settled before programme commencement.
4. Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
6. We reserve the right to reject an enrolment at any time.
7. We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website ([www.hkib.org](http://www.hkib.org)).



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