



KYC and Customer Documents Review for Corporations in Asia

25 September 2019



HKIB CPD	SFC CPT	PWMA OPT
3 Hours	3 Hours	3 Hours

Objectives

After completion of this programme, participants will be able to have a general understanding of various types of documents required for KYC for corporations in Asia (i.e. HK, Singapore, Taiwan, Korea, and Japan).

Programme Outline

- What is the purpose of obtaining documents from customers or account opening procedures?
- What is Customer Due Diligence?
- What is the difference between general account opening and account opening for loan application?
- What are the requirements for identification and verification of customer's identity?
- Review of KYC and Customer Due Diligence Documents of Various Legal Entities in Asian Jurisdictions (i.e. HK, Singapore, Taiwan, Japan and Korea)
- Group Discussion and Industry Practices Sharing

Target Audience

Bank Staff / Executives from Compliance and Legal Department, Internal Audit / Control and Risk Management Department; and any members who are interested in this topic are also welcome to join.

Speaker

The speaker has more than 20 years of experience working in the financial services industry and professional legal and accounting firms and involved in various functions such as risk, credit, accounting, legal and compliance of several corporate, commercial and investment banks. He was previously the head of credit control of a reputable corporate and commercial bank in Hong Kong. Currently, he is the regional legal counsel of a global corporate and investment bank. He is a qualified lawyer in Hong Kong and England, a CPA in Hong Kong and England, an associate member of HKIB and chartered banker of the Institute of Bankers in Scotland. He has substantial experience in delivering seminars and training in universities and professional institutes.

Programme Details

Language	:	English
Date	:	25 September 2019 (Wed)
Time	:	7:00pm – 10:00pm
Duration	:	3 hours
Venue	:	3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)
Fee	:	HKD1,200 (HKIB Individual Member) HKD1,400 (Staff of HKIB Corporate member) HKD1,600 (Non-member)
Enrollment	:	By fax at 2682 0218 or In person to our Institute
Application Email	:	application.form@hkib.org
Enquiries	:	(852) 2153 7800 / (852) 2190 7060
Application Deadline	:	18 September 2019 (Wed)
Enquiry Email	:	programme@hkib.org

Registration Form

KYC and Customer Documents Review for Corporations in Asia (HD000000P190920)

Full Name : Mr / Ms _____ <small>(In English as on ID Card)</small>	Full Name : _____ <small>(In Chinese)</small>
Membership No : _____	Organisation : _____
Department : _____	Position : _____
E-mail : _____ <small>(Confirmation will be sent via e-mail one week before programme commences)</small>	Mobile / Phone No : _____
Mailing Address : _____ <small>(Please complete the below address box if you want to collect the programme certificate)</small>	

Payment Method : A cheque / e-Cheque* made payable to "The Hong Kong Institute of Bankers" (Cheque no. _____)

* For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card : VISA Master Amount : HK\$ _____

Cardholder's Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

How did you know about this programme?

- Website HR Department E-News Others (Please specify)
- Friends / Colleagues Banking Today HKIB Counter

Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.



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