



# Managing Cyber Security in Online Brokerage and Advisory Platforms

**Early Bird Discount**  
1 week before the application deadline

**28 February 2020**

ECF <sup>1</sup> CPD	HKIB CPD	SFC CPT
<b>3</b> Hours	<b>3</b> Hours	<b>3</b> Hours

## Objectives

This course examines cyber security regulatory requirements in online brokerage and advisory platforms in Hong Kong. We will discuss HK SFC baseline requirements to reduce and mitigate hacking risks and core principles of managing online distribution and advisory platforms. The regulatory requirements and principles are applicable to online business in securities brokerage, fund distribution, robo advisory, and asset management in Hong Kong.

## Programme Outline

- Cyber security landscape in investment industry
- Twenty regulatory guidelines of Internet trading in brokerage and asset management business
- Framework and tools of managing cyber risks
- Impersonation risks and cyber credibility
- Six core principles of managing online distribution and advisory platforms

## Target Audience

COO, legal & compliance professionals, operations & IT professionals, and middle-to-senior level banking & investments executives in all divisions.

**Note 1 : ECF CPD includes CPD for CFMP, ARWP, CRWP and ACsP designation holders, excludes AAMPLP and CAMLP**

## Speaker

The speaker has almost 20 years of professional experience in IT and investments earned from a major global bank and asset management firms. He is a Judge of the Hong Kong ICT Awards -The Best FinTech Award since 2017. The speaker is also a Certified Banker (CB) at The Hong Kong Institute of Bankers, a Certified Information Systems Security Professional (CISSP) at ISC2, and an assessment panel member of the Enterprise Support Scheme (ESS) at the Innovation and Technology Fund (ITF).

## Programme Details

Language : Cantonese (supplemented with English materials)  
 Date : 28 February 2020 (Fri)  
 Time : 7:00pm – 10:00pm  
 Duration : 3 hours  
 Venue : 3/F Guangdong Investment Tower, 148 Connaught Road Central (Sheung Wan MTR Station Exit C)

Fee :	Early Bird	Regular Price
HKIB Individual Member	<b>HKD 900</b>	HKD 990
Staff of Corporate Member	<b>HKD 1,080</b>	HKD 1,190
Non-member	<b>HKD 1,200</b>	HKD 1,320

Enrolment : By fax at 2682 0218 or submit in person to our Institute  
 Application email : application.form@hkib.org  
 Application deadline : 21 February 2020 (Fri)  
 Enquiries : (852) 2153 7800 / (852) 2190 7060  
 Enquiry email : [programme@hkib.org](mailto:programme@hkib.org)

## Registration Form

Managing Cyber Security in Online Brokerage and Advisory Platforms (HD000000P200208)

Full Name: Mr / Ms \_\_\_\_\_ (In English as on ID Card)      Full Name: \_\_\_\_\_ (In Chinese)

Membership No: \_\_\_\_\_      Organization: \_\_\_\_\_

Department: \_\_\_\_\_      Position: \_\_\_\_\_

E-mail: \_\_\_\_\_      Mobile / Phone No: \_\_\_\_\_

(Confirmation will be sent via e-mail one week before programme commences)

Mailing Address: \_\_\_\_\_

Payment Method:  Crossed cheque made payable to **The Hong Kong Institute of Bankers** (Cheque no.: \_\_\_\_\_)  
 \*For e-Cheque, please state the programme code under 'remarks' and email together with the completed enrolment form to programme@hkib.org

Credit Card:  VISA  Master      Amount: HK\$ \_\_\_\_\_

Cardholder's Name: \_\_\_\_\_      Signature: \_\_\_\_\_

Card No.: \_\_\_\_\_      Expiry Date: \_\_\_\_\_ (mm/yy)

## How did you know about this programme?

- Website     HR Department     Friends / Colleagues     E-News     HKIB Counter     Others (Please specify) \_\_\_\_\_

## Terms and Conditions

- All fees paid are non-refundable and non-transferrable.
- Participants who have settled payments will receive course confirmation by e-mail within 7 days prior to the programme date.
- All payments must be settled before programme commencement.
- Priority of enrolment will be given on a 'first-come-first-serve' basis. HKIB Member will have priority in registration for those programmes with limited seats.
- HKIB would issue the "Certificate of Attendance" to participants who have complied with the "HKIB Attendance Policy". The Certificate will be mailed to the corresponding address within 10 working days after completion of the programme.
- We reserve the right to reject an enrolment at any time.
- We reserve the right to cancel, modify and/or postpone the programme due to unforeseen circumstances.
- The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
- For the Typhoon or Rainstorm arrangement, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).



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