

Supervision for Bank Culture

8 March 2019 (Friday)

Key Session Features

The HKMA launched a Bank Culture Reform in 2017 through promoting the adoption of a holistic and effective framework for fostering a sound culture within authorized institutions (AIs). With a view to gauging the progress of the Bank Culture Reform in Hong Kong and providing further guidance to the industry where necessary, the HKMA launched three culture supervision initiatives (namely, self-assessment, focus reviews and culture dialogues) in a circular to all AIs in December 2018.

In this presentation, the HKMA will explain the supervisory expectations behind these initiatives, and will also share some local and overseas examples of conduct incidents and lessons learnt that the industry can make reference to in the culture reform journey.

Target Participants

This seminar is useful for banking practitioners **at all levels of all AIs.**

* **Limited seats.**

Early enrollment is recommended.

Speakers

**Hong Kong Monetary Authority
Banking Conduct Department**

Alan Au, Executive Director
Sarah Kwok, Division Head
together with their team

Seminar Details

HKIB CPD / SFC CPT / PWMA OPT / ECF CPD - 2 hours

Date	: 8 March 2019 (Friday)
Time	: 3:00 pm – 5:00 pm (2 hours)
Registration	: 2:15 pm
	Please present confirmation e-mail with registration number and two business cards for registration.
Venue	: S221, Hong Kong Convention and Exhibition Centre, Expo Drive, Wanchai, Hong Kong
Language	: English
Fee	: HK\$450 per participant
Enrollment	: By Fax at (852) 2682 0218 or By Email to project@hkib.org
Enquiry	: (852) 2153 7818 (852) 2153 7858

Please complete in English

**Registration
Supervision for Bank Culture**

No	Surname	First Name	Department	Position	Contact Tel. No.	E-mail Address (Confirmation e-mail will be sent one week before course commencement)
	(Same as on ID Card)					
1						
2						
3						
4						
5						
6						

Name of Authorized Institution: _____

Contact Person (if applicable)

Name: _____ Position: _____
E-mail: _____ Contact no.: _____

Please complete in English

Registration Supervision for Bank Culture

Payment Method

- Cheque / e-cheque* made payable to "The Hong Kong Institute of Bankers"

Amount : HK\$ _____ Cheque no. _____

* For Cheque,
please mail to: The Hong Kong Institute of Bankers, 3/F., Guangdong Investment Tower, 148 Connaught Road
Central, Hong Kong

* For e-Cheque,
please state the programme code under "remarks" and email together with the completed registration form to
project@hkib.org

- Credit Card : VISA / Master

Amount : HK\$ _____

Card Holder Name : _____ Signature : _____

Card No. : _____ Expiry Date : _____ (mm/yy)

Terms and Conditions

1. Payment is due at the time of enrolment. All fees paid are non-refundable and non-transferrable. Refund is only applicable to rejected enrolment.
2. We reserve the right to reject an enrolment at any time.
3. Enrolment result will be sent by e-mail at least 1 week prior to the course commencement.
4. Priority of enrolment will be given on a 'first-come-first-served' basis.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "[HKIB Attendance Policy](#)". The Certificate will be e-mailed to the corresponding e-mail address within 1 month after completion of the programme.
6. We reserve the right to cancel, modify and/or postpone the course due to unforeseen circumstances.
7. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
8. For the typhoon or rainstorm arrangements, please refer to the confirmation e-mail or HKIB's website (www.hkib.org).